<u>REMARKS</u>

This Amendment is in response to the Final Office Action mailed October 13, 2010 and

is submitted subsequent to the telephone interview of Feb. 1, 2011, for which the undersigned

thanks Exr. McClellan.

During the interview, Exr. McClellan indicated that the arguments below appeared to be

persuasive and that the present amendment would likely be entered, but cautioned the

undersigned that a further search would likely, in his opinion, reveal additional art relevant to the

claims. During a subsequent telephone call, Exr. McClellan indicated that the undersigned

should address his concerns that Mockapetris' "software ring" configuration (middle paragraph

of page 152 of Mockapetris) may read on the claims as now presented.

Claim 1 has been amended to take care of a typo and claim 79 has been amended as to

form. Neither of these amendments is of a nature which would preclude entry of the present

amendment after final.

Claims 1-5, 8-12, 63-65 and 108 were rejected as being unpatentable over Mockapetris in

view of Nguyen. Claim 109 was further rejected as being unpatentable over the Mockapetris-

Nguyen combination, in further view of San Andres. Reconsideration and withdrawal of these

rejections are respectfully requested.

With regard to Mockapetris' statement on page 152, Column 2 that an important goal of

his system is to "optimize the multicast potential of the medium without incurring excessive cost

in terms of processing events in the receivers of the distribution" and the Examiner assertion that

"With that goal explicit, a single acknowledgment would be sufficient to complete a

transaction", the undersigned respectfully submits the following.

Page 25 of 34

Serial No. 10/656,631

Atty, Docket No. CYBS5872

Mockapetris seeks to optimize the multi-casting of the messages without incurring excessive costs in terms of processing events in the receivers of the distribution, by improving the probability that the transmissions are successful and discarding duplicate <u>transmissions</u> (right-hand col., page 152):

The first of these is optimizing the performance of packet primitives in the network interface. Our goal is to optimize the multicast potential of the medium without incurring excessive cost in terms of processing events in the receivers of the distribution. This goal is achieved through measures to improve the probability that transmissions are successful and measures to rapidly discard irrelevant or duplicate transmissions. In this regard, multicast is more sensitive to the effects of errors than one-to-one transmission because although a failure may still double the cost, the cost of multicast increases with the size of the multicast set.

Therefore, Mockapetris seeks to 1) optimize the multi-cast <u>transmission</u> of the messages (in a manner that does not impose excessive burdens on the receivers thereof) and 2) to do so by ("This goal is achieved through...") a) improving the probability that the <u>transmissions</u> are successful and b) discarding irrelevant or duplicate <u>transmissions</u>. These, then are the <u>outgoing</u> <u>transmissions of the multicast messages</u>.

These goals of maximizing the <u>sending of the messages</u> are discussed in the "Packet primitives strategies and costs" section of Mockapetris:

Packet primitives strategies and costs Several techniques for improving interface performance are already in use in various systems. Interfaces should recognize multicast addresses instead of a single broadcast address; thus hosts that are not in the multicast set won't have to expend time to discard extraneous packets. Network interfaces can minimize packet loss through full duplex operation and by buffering strategies that allow reception of back-to-back packets from the medium. A fairly simple extension to this scheme would be to reserve a buffer for each multicast connection so that multicast distributions would never be discarded due to lack of resources.

Page 26 of 34

In situations such as saturation, where the ACK reliability isn't a problem, but the distribution may still need retransmission, duplicate detection can be made automatic by using two multicast addresses and a variation on the alternating bit protocol12. In this scheme, called parity, the sender uses one address for the initial transmission and all retransmissions of a message, and then switches to the other address for the next message. The receivers change addresses whenever they successfully copy a new message. Receivers that miss a message stay with the old address and eventually receive a retransmission; receivers that copy a message are spared receiving any future retransmissions. The parity system requires restrictions on the packet lifetime and outstanding messages that are rarely a problem in a local network. The ultimate in performance is achieved by a network interface that performs duplicate detection, ACK generation, and ACK reception without host intervention. These interfaces are referred to as filter interfaces in further discussion. The parity scheme is a primitive example of automatic duplicate detection; various interfaces, such as the Hyperchannel<sup>13</sup> and others<sup>14</sup> incorporate automatic ACK generation, though at a low level in the protocol hierarchy. A scheme for a high-level version is described by Mockapetris<sup>11</sup>. These acknowledgments are transmitted immediately following the distribution they acknowledge, and hence are called prompt ACKs.

These passages make no mention, teaching or suggestion of ...

"the outbound game payload enabling the gaming machine having sent the transaction packet to complete the game transaction and wherein the at least one gaming machine is configured such that a first arriving outbound payload received by the at least one gaming machine is effective to complete the game transaction, irrespective of when and if a second later arriving outbound payload is received by the at least one gaming machine."

... as claimed in claim 1 and as similarly claimed in the other pending independent claims. Indeed, Mockapetris teaches for the interface to recognize multicast addresses instead of a single broadcast address and a *parity* scheme for duplicate <u>transmission</u> detection. So far, in Mockapetris, we are only talking about the initial transmission of the multicast messages, and have not yet reached the point where the ACKs are generated by the hosts (recipients of the multicast messages). Here, there is not teaching or suggestion therein for a sender to treat a first arriving outbound payload received by the at least one gaming machine as being effective to complete the game transaction, irrespective of when and if a second later arriving outbound payload is received by the at least one gaming machine, as claimed herein.

Mockapetris also wishes to optimize the acknowledgment algorithm (the generation and

sending of the ACKs at the receiving end of the multi-cast transmission back to the original

multicast message sender) and the associated burden that such acknowledgements pose at the

recipients thereof (the original senders referred to above):

We also want to optimize the acknowledgment

algorithm. In multicast, there is more distinct acknowledgment information than data to be

acknowledged; hence special acknowledgment

algorithms may be justified.

Mockapetris does this through one of four multicast algorithms:

1. Simulation algorithms;

2. Multiple acknowledgment algorithms;

3. Saturation algorithms, and

4. Negative acknowledgment algorithms.

These algorithms are concerned with the cost of sending of acknowledgments by the

hosts to the sender of the original multicast message.

Acknowledgment strategies and costs

The focus for acknowledgments is eliminating the cost of ACK transmissions, either by moving the cost into the network interface or by reducing the number of

ACKs required. Four types of multicast algorithms are

considered:

Even when Mockapetris states that "there is more distinct acknowledgement information

than data to be acknowledged", he does not teach that a first arriving outbound payload received

by the at least one gaming machine is treated as being effective to complete the game

Page 28 of 34

Serial No. 10/656,631 Atty, Docket No. CYBS5872 transaction, irrespective of when and if a second later arriving outbound payload is received by

the at least one gaming machine, as claimed herein. This is simply because such a scheme would

be contrary to the purpose of multicasting messages, as defined by Mockapetris: to insure that all

intended recipients of the message receive the message. In contrast, the claimed embodiments

only require a single outbound payload to be returned (irrespective of how many transaction

packets were sent to the central servers) to complete the game transaction. How many others are

subsequently received (if any), does not affect the completion of the transaction, according to the

claimed embodiments.

Contrary to Mockapetris, the claimed embodiments are, again, unconcerned with the

costs of ACK transmissions by either moving the burden to a network interface of by reducing

the number of ACKs required.

Indeed, in the claimed embodiments, irrespective of the number of ACKS generated by

the central servers, a first arriving outbound payload received by the at least one gaming

machine is treated as being effective to complete the game transaction, irrespective of when

and if a second later arriving outbound payload is received by the at least one gaming

machine, as claimed herein. Mockapetris simply does not teach or suggest that the sender of the

multicast message, after having sent same, discards all but the first-to-arrive ACK received from

the ACK-sending hosts.

At no point is Mockapetris believed to teach or suggest the utility or desirability of

discarding ACKs, after a first in time ACK has been received by the sender of the multicast. The

whole point of multicasting is the transmission of a message to predetermined multiple receivers

and to ensure that the intended receivers thereof actually received the multicast messages:

Page 29 of 34

Serial No. 10/656,631

Atty, Docket No. CYBS5872

In the <u>Simulation Algorithm</u> (number 1 above), each host sends an ACK back to the sender or a software ring is used, in which the last destination acknowledges receipt to the

sender. To address the Examiner's concern regarding the software ring and the present claims,

the undersigned submits the following.

Mockapetris states that, in the "software ring" configuration, "the source transmits the

message to the first destination, which forwards the message to the next destination, etc. The last

destination returns the message or an ACK to the sender. ... The advantages of this scheme are

the reduction in traffic and the sender needn't maintain a list of all destinations; each member

need only remember the next member".

This is believed to be incompatible with the claimed language:

each of the at least one gaming machine being configured to play at least one game and to carry out a game transaction for each game played and to commit each game transaction to each of the at least two central servers by sending a single transaction packet to each of the at least two central servers, each single transaction packet sent to each of the at least two central servers including an identical inbound game payload wherein each of the at least two central servers, upon receipt of the inbound game payload, are configured to return a single outbound game payload to the gaming machine having sent the transaction packet

gaining machine naving sent one transaction packet

Indeed, in the "software ring", the sender does not carry out any step of "sending a single transaction packet to each of the at least two central servers". Mockapetris itself supports this interpretation, as the reference explicitly states that "the source transmits the message to the first

destination ... each member need only remember the next member". Moreover, the claim states:

...each single transaction packet sent to each of the at least two central servers including an identical inbound game payload...

In a ring configuration, there is no each single transaction packet sent to each of the at

least two servers including an identical inbound game payload. The claim recites at least two

Page 30 of 34

Serial No. 10/656,631 Atty, Docket No. CYBS5872 central servers and recites that each single transaction packet sent to each of the at least two

central server includes an identical game payload. This language is substantively and

grammatically inconsistent with a single game payload being forwarded to successive

destinations. In contrast, in Mockapetris' "software ring", there are no identical inbound

payloads, there is only one payload, that gets forwarded along the ring, to each successive

destination.

Continuing through Claim 1, the claim then recites:

...wherein each of the at least two central servers, upon receipt of the inbound game payload, are configured to return a single outbound game

payload to the gaming machine having sent the transaction packet...

This too is inconsistent with Mockapetris' "software ring" implementation, in that the

destinations, upon receipt of the message, do not (except for the last one in the ring), return a

single outbound game payload to the gaming machine having sent the transaction packet. What

does happen, in Mockapetris, is that each destination forwards the message to the next member

of the ring, and not to the gaming machine having sent the transaction packet. That the last one

in the chain does so is not fatal to this argument, as the claim requires that each of the at least

two servers, upon receipt of the inbound payload, are configured to return a single outbound

game payload to the gaming machine having sent the transaction packet (as claimed), and not to

the "next member" of the "software ring", as taught by Mockapetris.

Lastly, claim 1 recites

...wherein the at least one gaming machine is configured such that a first arriving outbound payload received by the at least one gaming machine is effective to complete the game transaction, irrespective of when and if a

second later arriving outbound payload is received by the at least one

gaming machine.

Page 31 of 34

Serial No. 10/656,631 Atty, Docket No. CYBS5872 In Mockapetris, there is no "later arriving outbound payload received by the at least one

gaming machine", as it only receives a message from the last member of the ring – meaning that

there can be no "later arriving outbound payload", as the ring itself is structured so that the

original sender only receives a single ACK from the last member of the ring. Therefore,

analogizing the claimed embodiment to Mockapetris' "software ring", in effect, breaks the claim

and requires ignoring substantial claim limitations for the purpose of making the rejection.

It is respectfully submitted that the Examiner's interpretation of the claimed subject

matter relative to Mockapetris' "software ring" cannot reasonably be reconciled with the actual

claim language, as forcing such an interpretation requires ignoring positive claim limitations

(e.g., "sending a single transaction packet to each" ... "return a single outbound game payload to

the gaming machine...", "later arriving outbound payload...", etc.) and the plain meaning of the

language of the independent claims.

The Separate Acknowledgment Algorithm (number 2 above) relies on one-to-one

ACKs, which can result in the cost of acknowledgements being greater than the cost of the

message distribution (again, here we are talking about the cost of generating and sending the

ACKs, of which the claimed embodiments are agnostic).

The Saturation Algorithm (number 3 above), does not even rely on ACKs, but on the

transmission of a sufficient number of copies of the message to insure that at least one copy

thereof gets through to each destination.

Lastly, the Negative Acknowledgment Algorithm (number 4 above) in which members

of the multicast that receive the message correctly do not send an ACK and those members of the

multicast that would like to be able to copy the message, but cannot, send a NACK.

Page 32 of 34

Serial No. 10/656,631

Atty, Docket No. CYBS5872

Each of these algorithms is solely concerned with the manner in which the host generates

and sends the ACKs (or not) back to the multicast message sender. The claims, on the other

hand, are crafted from the point of view of the receiver of the ACKs (to use Mockapetris'

terminology) - that is, from the point of view of the claimed gaming machine, not from the point

of view of the central servers that generate and send the outbound payloads (which the Exr. has

analogized to Mockapetris' ACKs). None of these algorithms teach or suggest, whether

considered alone or in combination with Nguyen (which teaches gaming machines), treating a

first arriving outbound payload received by the at least one gaming machine as being effective to

complete the game transaction, irrespective of when and if a second later arriving outbound

payload is received by the at least one gaming machine, as claimed herein.

It is believed, therefore, that the independent claims define an online gaming systems and

methods that find no counterpart in the applied Mockapetris-Nguyen combination. Independent

claim 109 shares similar language and is not believed to be obvious over the Mockapetris-

Nguyen combination, whether considered alone or in further combination with San Andres.

Reconsideration and withdrawal of the outstanding rejections are, therefore, respectfully

requested.

Applicants' attorney believes that the present application is now in condition for allowance

and passage to issue. If any unresolved issues remain, the Examiner is respectfully invited to

contact the undersigned attorney of record at the telephone number indicated below, and whatever

is required will be done at once.

Respectfully submitted,

Bans. 4

Date: February 3, 2011

By:

Alan W. Young Attorney for Applicants Registration No. 37,970

YOUNG LAW FIRM, P.C. 4370 Alpine Rd., Ste. 106 Portola Valley, CA 94028

Tel.: (650) 851-7210 Fax: (650) 851-7232

C:\YLF\CLIENTS\CYBS\5872 (Univ Gaming Server)\5872 Amendment Responsive to FOA of Oct. 13, 2010.doc